

HELLENIC REPUBLIC
MINISTRY OF EDUCATION, RESEARCH & RELIGIOUS AFFAIRS
GENERAL SECRETARIAT FOR RESEARCH AND TECHNOLOGY

Organization : Athena- Research and Innovation Center ("Athena" RC)
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To: Research Executive Agency
Inclusive, Innovative and Reflective Societies
COV2 16 039
B-1049 Brussels Belgium

For the attention of Ms Paloma Martin

11.06.2018

SWORN STATEMENT

Contract title: **Big Data approaches for improved monitoring of research and innovation performance and assessment of the societal IMPACT in the Health, Demographic Change and Wellbeing Societal Challenge**

Grant Agreement No.: **770531**

Dear Ms Martin,

In the context of the D4I project, ARC will collect publicly available information about publications from OpenAIRE and Open Access PubMed including all associated textual content, publicly available information about project outputs from CORDIS, and textual content, authors and related metadata of patents from the European Patent Office. On the collected data, ARC will perform standard text mining techniques in order to explore, model, analyze and visualize systematic research in E.U related to the Health, Demographic Change and Wellbeing Societal Challenge.

"Athena" RC will take all proper measures to ensure that any personal data will be handled in accordance with Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation).

Should you have any queries or require further information regarding the Data Handling Procedures, please do not hesitate to contact us.

For Athena RC, **ATHENA**
RESEARCH AND INNOVATION CENTRE
IN INFORMATION, COMMUNICATIONS
AND KNOWLEDGE TECHNOLOGIES
ARTEMIDOS 6 & EPIDAVROU str., 161 26 MAROUSI GREECE
TEL: +30 210 6875300 - FAX: +30 210 6875485
Prof. Yannis Ioannidis
President of the Board and General Director





ISTITUTO DI SCIENZA E TECNOLOGIE
DEI L'INFORMAZIONE "A. FAEDO"

Research Executive Agency
Inclusive, Innovative and Reflective Societies
COV2 16 039
B-1049 Brussels Belgium

ISTITUTO CNR - ISTI	
IV 5	CI: COLLABORAZIONE F. Comunicazioni
N. 0001599	18/04/2018

For the attention of Ms Paloma Martin

Pisa, 16 April 2018

SWORN STATEMENT

Contract title: **Big Data approaches for improved monitoring of research and innovation performance and assessment of the societal IMPACT in the Health, Demographic Change and Wellbeing Societal Challenge**

Grant Agreement No.: **770531**

Dear Ms Martin,

I hereby confirm that CNR – Institute of Information Science and Technologies (CNR-ISTI) in the framework of Data4Impact project and its research activities will comply with the Grant Agreement No 770531 (5. Ethics and Security) and D1.4 Data Management Plan, which is compliant with the Directive 95/46/EC of the European Parliament and Council of 24th October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data and other relevant national laws and regulations.

Should you have any queries or require further information regarding the Data Handling Procedures, please do not hesitate to contact us.

Yours sincerely,

Dr. Claudio Montani
Director of CNR-ISTI



Fraunhofer ISI | Breslauer Strasse 48 | 76139 Karlsruhe

Research Executive Agency
For the attention of Ms Paloma Martin
Inclusive, Innovative and Reflective Societies
COV2 16 039
1049 Brussels
Belgium

Fraunhofer Institute for
Systems and Innovation Research

Director
Prof. Dr. Marion A. Weissenberger-Eibl

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Competence Center Policy - Industry - Innovation
Phone + 49 721/6809-197 | Fax 176
Rainer.frietsch@isi.fraunhofer.de
www.isi.fraunhofer.de

Your Reference

Your Letter of

Our Reference

Karlsruhe, 22 February 2018

Contract title: **Big Data approaches for improved monitoring of research and innovation performance and assessment of the societal IMPACT in the Health, Demographic Change and Wellbeing Societal Challenge**

Grant Agreement No.: **770531**

Dear Ms Martin,

I hereby confirm that Fraunhofer ISI in the framework of Data4Impact project and its research activities, **will not collect, process or store any personal data**. Fraunhofer ISI is responsible for providing the analytical framework, operationalise and test new indicators based on existing data. Therefore, research carried out by Fraunhofer ISI will draw on publicly available data only.

In its all actions Fraunhofer ISI will comply with the Grant Agreement No 770531 (5. Ethics and Security), which is compliant with the Directive 95/46/EC of the European Parliament and Council of 24th October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data and other relevant national laws and regulations.

Should you have any queries or require further information regarding the Data Handling Procedures or the Informed Consent Procedures, please do not hesitate to contact us.

Yours sincerely,



Rainer Frietsch

No. S-4

29 March, 2018
Vilnius

Research Executive Agency
Inclusive, Innovative and Reflective Societies
COV2 16 039
B-1049 Brussels Belgium

For the attention of Ms Paloma Martín

SWORN STATEMENT

Contract title: **Big Data approaches for improved monitoring of research and innovation performance and assessment of the societal IMPACT in the Health, Demographic Change and Wellbeing Societal Challenge**

Grant Agreement No.: 770531

Dear Ms Martin,

I hereby confirm that Public Policy and Management Institute (PPMI) in the framework of Data4Impact project will comply with the Grant Agreement No 770531 (5. Ethics and Security) and D1.4 Data Management Plan, which is compliant with the Directive 95/46/EC of the European Parliament and Council of 24th October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data and other relevant national laws and regulations.

Should you have any queries or require further information regarding the Data Handling Procedures, please do not hesitate to contact us.

Yours sincerely,



Haroldas Brožaitis,
Director

Research Executive Agency
Inclusive, Innovative and Reflective Societies
COV2 16 039
B-1049 Brussels Belgium

For the attention of Ms Paloma Martin

Athens, 30 March 2018

SWORN STATEMENT

Contract title: **Big Data approaches for improved monitoring of research and innovation performance and assessment of the societal IMPACT in the Health, Demographic Change and Wellbeing Societal Challenge**


Grant Agreement No.: 770531

Dear Ms Martin,

I hereby confirm that Qualia Technologies of Understanding SA (Qualia) in the framework of Data4Impact project will comply with the Grant Agreement No 770531 (5. Ethics and Security) and D1.4 Data Management Plan, which is compliant with the Directive 95/46/EC of the European Parliament and Council of 24th October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data and other relevant national laws and regulations.

Should you have any queries or require further information regarding the Data Handling Procedures, please do not hesitate to contact us.

Yours sincerely,


QUALIA
ΤΕΧΝΟΛΟΓΙΕΣ ΚΑΤΑΝΟΗΣΗΣ
ΑΝΩΝΥΜΟΣ ΕΤΑΙΡΕΙΑ
ΒΟΡ. ΗΠΕΙΡΟΥ 4 - 145 64 ΚΗΦΙΣΙΑ
ΤΗΛ: 210 6202811 - FAX: 210 6202812
ΑΦΜ: 999456138 - ΔΟΥ: ΦΑΕ ΑΘΗΝΩΝ
ΑΡ.Μ.Α.Ε.: 60645/01ΑΤ/Β/06/179

Iason Demiros,
CEO



Background

The Data for Impact project management has requested a statement confirming that University of Borås processing of personal data in scope of the project complies with the applicable data protection laws and regulations.

Laws and Regulations

University of Borås planned processing of personal data in scope of the Data for Impact project is considered to be subject to the General Data Protection Regulation, and the following national legislation: the Data Protection Act (Dataskyddslagen), the Research Data Act (Forskardatalagen), the Ethical Review Act (Etikprövningslagen), the Archiving Act (Arkivlagen), the Freedom of the Press Act (Tryckfrihetsförordningen), the Public Access to Information and Secrecy Act (Offentlighets- och sekretesslagen) and the Administrative Procedure Act (Förvaltningslagen).

Description of the Processing of Personal Data

The purpose of University of Borås processing of personal data in scope of the Data for Impact project is to evaluate the impact of publicly funded research in the health, demographic change and wellbeing societal sectors, by textual data analysis and measuring the number of references to such research in scientific archives and on the social media Twitter.

The categories of personal data that will be processed include Author Names, Primary Investigator Names, Publication Titles, Research Project Names, ORCID, PubMed ID, Scopus ID, and Web of Science ID.

The personal data will be collected from SweCRIS, other funders of publicly funded research, and Twitter.

The personal data will be available and used by researchers at the University of Borås. Administrators in the IT-department at the university will not use, but have access to the personal data.

Names of authors and primary investigators will be shared with other project members outside the University of Borås by encrypted file transfer. No other personal data will be shared.

All personal data that will be processed by the University of Borås in scope of the project will be stored on the University of Borås own encrypted network storage. The communication with the file servers is encrypted. Access is

personal and limited to those researchers who need access to the information in their work. No personal data will be made available outside University of Borås with the exception of the names of authors and primary investigators mentioned above.

As a further safeguard, Twitter handles will be made anonymous with no possibility to link information to a certain individual. Pseudonymisation has been considered as a safeguard for other personal data that will be processed, but the purpose of the data processing is deemed to not be achievable using pseudonymisation, or equivalent measures, in any part of the processing.

The results of the study will be compiled and presented in anonymous form. Personal data collected will be archived when the study is completed, and will be kept indefinitely so that research can be reconsidered or reanalysed at a later date.

Gustaf Nelhans is responsible at the University of Borås for the described processing of personal data.

Statement on Data Protection

University of Borås is considered to be the controller of the processing of personal data that is carried out by employees at the university. University of Borås is not responsible for any other processing of personal data that takes place in scope of the project by others.


The legal basis for the processing of personal data is considered to be that the processing is necessary for the performance of a task carried out in the public interest (art. 6.1 e in the GDPR). There is considered to be a certain risk that special categories of personal will be processed. Provided that such personal data will not be processed, there is no need for additional safeguards, or to collect the data subjects consent for the processing.

In respect of the requirement of pseudonymisation, or equivalent measures, of research data containing personal data it is the responsible researcher's task and responsibility to determine if pseudonymisation is compatible with the research method that is deemed necessary, and, if applicable, to implement such security measures.

In this case the requirement of pseudonymisation, or equivalent measures, is partly achieved by the anonymisation of Twitter handles. In case of the other personal data processed such measures cannot be used, which may be accepted.

According to article 14 of the GDPR, the controller shall provide the data subjects with information about the personal data that will be processed. Due to the large amount of personal data in this case and that no special categories of personal data according to article 9 will be processed, Gustaf Nelhans, who is responsible at the University of Borås for the described processing of personal data, find that exemption in article 14.5b is applicable. Instead of individual information to the data subjects, general information will be published at the University of Borås website.

The described planned processing of personal data complies with applicable laws and regulations.



Åsa Dryselius

University of Borås Data Protection Officer